EXHIBIT 1

IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

IN RE: : MDL-07-1871

AVANDIA MARKETING,

SALES PRACTICES AND : Philadelphia, Pennsylvania PRODUCTS LIABILITY : March 26, 2014 LITIGATION

: 12:32 a.m.

TRANSCRIPT OF HEARING BEFORE THE HONORABLE CYNTHIA M. RUFE UNITED STATES DISTRICT JUDGE

APPEARANCES:

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- - -

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Proceedings recorded by electronic sound recording, transcript produced by computer-aided transcription service.

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Respondent's Opening Statement 14 conditions of the MDL from the standpoint of what the people got, et cetera. I personally negotiated the settlement. I criss-crossed the country five times, had specific meetings with respect to the settlement.

And quite frankly, Your Honor, I believe the evidence is we got four times as much money for heart attacks as the MDL, because of the preparation and work that we did, along with investing \$14 million of the firm's own money for the proper cost of preparing the case.

THE COURT: Well, as I understand it --MR. GIRARDI: Now --

THE COURT: -- Mr. Girardi, as I understand the process over the last seven years in this MDL, the PSC did not direct any particular firm's settlement agreements, did not intervene or interfere even when requested in other firms' negotiations with GSK. So you're not in any different position than any other one of them who had their firms and their firms' cases settled with GSK.

Those are separate. So I just want to clarify here that that will never be a ground in this proceeding upon which you can prevail.

So why don't you go back to whether or not you used the material and the discovery that was amassed in

Mr. Andrus - Direct

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1 Number 1871, is admitted into evidence.)

MR. ZONIES: Thank you, Your Honor.

BY MR. ZONIES:

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Q Mr. Andrus, what's the date of the order appointing

Mr. Sizemore of the Girardi Keese firm to the PSC?

A The date was April 9th, 2008.

Q Prior to that appointment to the PSC, Mr. Andrus,

did indeed the PSC, who ultimately became the PSC

members, perform any work to further the Avandia

litigation prior to this appointment?

prior to the date of this order.

A They did. If I may explain, in May of 2007, the Nissen article which first discussed the relationship between Avandia and an increased risk of heart attacks

was published.

A substantial number of attorneys selforganized under the leadership of myself and Mr.

Aylstock, and that included Mr. Sizemore, our working
group worked independently of but also directly with GSK
prior to the creation of the MDL by the JPML, subsequent
to the creation and actually negotiated with GSK certain
terms involving, for example, plaintiff fact sheets,

So we started working in approximately July or August of 2007.

Q And during the period of time where there was work

Mr. Andrus - Direct

1 right?

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- A Yes, sir, on the left-hand side in the middle of the column.
 - Q Would it have been your habit and practice in your business then to have forwarded this to Girardi Keese to see if they had objection to their being listed as someone who was obligated to pay the assessment on their cases?
 - A Yes, sir.
- Q Do you believe you did so?
- 11 A I know I did so.
- 12 Q Did you ever receive any objection from Mr. Girardi?
- 13 A No.
- 14 Q Until recently?
- 15 A Not until these proceedings.
- MR. ZONIES: May I approach, Your Honor?
- 17 THE COURT: Yes.
- 18 BY MR. ZONIES:
- 19 Q Mr. Andrus, I've handed you what's been marked as
- 20 Exhibit 4. Could you describe that document, please?
- 21 A Exhibit 4 is another letter, virtually identical to
- 22 Exhibit 3. Exhibit 3 was dated August 31st, 2009.
- 23 Exhibit 4 is yet another letter I sent to GSK, care of
- Ms. Gussack, dated September 21st, 2009, to -- I mean,
- it may be -- yes, it's exactly the same, and it has a

different Exhibit A because it may have additional or 1 different PSC members or counsel that we think their 2 3 cases were covered. And is Girardi Keese listed indeed on Exhibit A for 4 that document, that letter as well? 5 Α Let me look. Yes. And you can see Exhibit A on 6 Number -- Exhibit Number 4 is laid out differently -- in 7 8 a different manner column-wise than Exhibit 3, but, yes, they are listed. 9 10 And to your knowledge, did you ever receive any notice or objection from Mr. Girardi or the Girardi 11 Keese firm about whether or not they believed they owed 12 the assessment at that time? 13 I did not receive any such objection. 14 15 And, Mr. Andrus, because you didn't receive any 16 objection, did the PSC continue to share information to 17 your knowledge with the Girardi Keese firm? Indeed they did, both before and after Mr. Sizemore 18 departed. While Mr. Sizemore was an employee of Girardi 19 20 Keese, he was Chair of our Science Committee. He was 21 our head science quy at that time and was involved in all discovery at -- and had access to all documents and 22 23 all work product.

MR. ZONIES: May I approach, Your Honor?
THE COURT: Yes.

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Mr. Andrus - Direct

date. So they're being suspended pursuant to a Master

Settlement Agreement Girardi Keese entered into with

GSK.

Q And do you see the numbers on some of these cases, 2:08 --

A Yes.

Q -- 2:11 or 11. What do you understand those 08 and 11 to mean?

A The Court allocates docket numbers by the date on which they were filed, and so those cases were filed in 2008 through 2011. That's my understanding of the Court's numbering system.

Q And then it's signed on the second page, the stipulation and order to place in administrative suspense, is that right?

A That -- yes.

Q And what's the -- what is the -- is the Girardi Keese firm listed on that signature block?

A It is.

Q And what's the date of that signature of either Mr. Girardi or Mr. Griffin in this stipulation filed in this MDL for the 20-plus cases that were active in this MDL from 2008 through 2011 while these PSC members were working?

A It's 10-4-12, October 4th, 1912 -- I mean, 2012.

Mr. Andrus - Cross

MR. GIRARDI: Well, I'll object -- I'll object to that. He's answered the question.

THE COURT: Well, actually, you did ask him to answer the question, and he's explaining his answer.

Overruled. You may answer, Mr. Andrus.

MR. GIRARDI: Okay.

THE WITNESS: The reason for that, Your Honor, is the bell -- just as the bell can't be unrung, once one shares common benefit -- a common benefit work product with another, the other then knows and understands. I disagree with Mr. Girardi that he didn't use our stuff because I think he did and I think our evidence can demonstrate that.

But the point is that Mr. Girardi himself, Mr. Griffin himself, attended strategy conferences in which there was a full explication of all the trial strategy, tactics, documents. They were provided copies of all of our documents, and that enures to the benefit of every client that he has, not only those in 2008 or 2009, but every one to the very last one. And that, Mr. Girardi, is why I respectfully disagree with your interpretation. BY MR. GIRARDI:

Q Well, sir, the document says, by its terms, and the only document that you have a signature on of Keith Griffin says that -- it provides that there is an

Mr. Andrus - Cross

and because of Pretrial Order Number 10.

I -- I'm sorry, Your Honor. I don't want to debate with Mr. Girardi about that. We just have a disagreement about what it means.

BY MR. GIRARDI:

- Q The \$200,000 that apparently Sizemore was entitled to, was never paid to our firm, isn't that correct?

 A That's incorrect. Your firm rejected the payment.

 Your firm submitted common benefit time, at least through the time that Mr. Sizemore left. That time, ultimately, was adjudicated and was subject to an order of this Court, awarding your firm a common benefit fee of \$200,000, the check for which you did return and said words to the effect, we don't want this or this -- this isn't ours or please, keep this money. And you sent the money back.
- Q And then, your common benefit fees were -- amounted to \$17,150,000?
- A I -- well, I don't --
- 20 Q Did they or not?
 - A No, I -- I don't recall it being that big, and I wish it was. I don't recall it being that big a number, but the award -- no, I don't think my award was that amount. I think it was less than that. I think it was still much larger than the one you're talking about, and

Mr. Andrus - Redirect it's contingent in part on the ultimate collections, 1 which the Common Benefit Assessment Fee Fund acquires. 2 3 So the answer is, no, I haven't been paid \$17 million. 4 Did you put out 14 million in costs in the case? 5 Well, I don't know. Did you -- our Plaintiffs' Α Steering Committee, I believe --6 7 Q No, sir, you. 8 Α Me, personally? No. 9 Q Yes. 10 Α No. MR. GIRARDI: I have nothing further, Your 11 12 Honor. Thank you. Any redirect? 13 THE COURT: MR. ZONIES: Just one question, Your Honor. 14 15 I've made the mistake of saying that. 16 REDIRECT EXAMINATION 17 BY MR. ZONIES: 18 Mr. Andrus, to your knowledge, does the allocated amount for the Girardi Keese firm remain in trust, 19 20 awaiting Girardi Keese's decision to -- whether or not he would like to change his mind? 21 The fund administrator has placed that 22 Α It does. 23 money in suspense, and he does -- well, he -- he is simply holding it until there is a resolution by this 24

Court as to whether or not -- I don't know -- as to the

Mr. Andrus - Redirect 68 disposition of it, whatever that might be. 1 MR. ZONIES: Nothing further for this witness, 2 3 Your Honor. THE COURT: Anything further, Mr. Girardi, for 4 this witness? 5 MR. GIRARDI: Nothing -- nothing further, Your 6 7 Honor. 8 THE COURT: Thank you. You may step down. THE WITNESS: Thank you. 9 10 (Witness excused.) MR. ZONIES: Your Honor, I call Mr. Justin 11 Kaufman from the Heard Robins law firm. 12 13 JUSTIN KAUFMAN, PETITIONER'S WITNESS, SWORN. COURTROOM DEPUTY: Please state your full name 14 15 for the record? THE WITNESS: Justin Kaufman. 16 17 THE COURT: Would you please spell your last 18 name? THE WITNESS: K-A-U-F-M-A-N. 19 20 **DIRECT EXAMINATION** BY MR. ZONIES: 21 22 Good afternoon, Mr. Kaufman. Q Good afternoon. 23

Could you please describe for the Court what you do

and with whom you do it?

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A Sure. I am a partner with the law firm Heard Robins Cloud. I am out of the Santa Fe, New Mexico office. My partner, Bill Robins, was a member of the Plaintiffs' Steering Committee in the Avandia litigation. Bill and I worked together on the Avandia litigation from 2011, from the time Bill was appointed to the PSC, through the time that we settled our Avandia cases in January of 2012.

That settlement came about shortly before we were ready to try our first bellwether case in the California JCCP.

- Q So, you -- Heard Robins and you, personally, worked on cases that were, indeed, in the California JCCP, along with some of Mr. Girardi's cases, is that right?
- A That's right.
- Q Were you actively involved in that California litigation?
- A We were.

- Q You heard testimony perhaps today from Mr. Girardi, under oath, that he did not use or utilize any of the MDL work product or experts or documents, other than receiving those documents that were generated, and the work done here in the MDL, have you heard that testimony today?
- A I have.

- Q Do you agree with that?
- A I don't.

Q Why not?

A Starting in the middle of 2011, there were a number of cases that were chosen as trial pool cases in the California JCCP. Our firm represented clients in that trial pool, as did Mr. Girardi's firm. When the cases were chosen as trial picks, all of those cases, and all of those plaintiffs' firms worked together on all of those cases.

All of those cases faced summary judgment motions from the defendant, and together, all of the plaintiffs' lawyers worked together to oppose those summary judgment motions. In opposition to those summary judgment motions, all of the plaintiffs' lawyers utilized MDL work product that had been developed since you and Mr. Andrus and Mr. Aylstock and everyone else had begun the MDL shortly in the middle of 2007 and all of the work product that had been developed up until that point.

And so when the summary judgment motions came up in California, the pleadings very much relied upon the evidence that was developed by the MDL, and our firms collectively filed pleadings relying upon that work product.

Q And by our firms, who do you mean?

A The Heard Robins firm, the Girardi firm, as well as other firms that had represented clients in the California JCCP, as well as clients in the MDL.

- Q And you were personally involved in these litigations in California?
- A We were, yes.

- Q Do you know, for example, whether or not the Girardi Keese firm relied upon experts that had been developed completely in the MDL?
- A Yes. We relied upon a handful of experts, in particular, in order to oppose the summary judgment motions that were filed by GSK. There were three experts who we used to file declarations in California in support of our summary judgment oppositions.

Those experts were Dr. Suzanne Parisian, Dr. Elliot Brinton and Dr. Nicholas Jewell. They filed declarations in our cases in California, and we, in opposition to the summary judgment motion, cited and relied upon those declarations in support of our oppositions.

- Q And Dr. Jewell is a biostatistician, is that right?
- A He is, yes.
- Q And Dr. Jewell was the biostatistician that this

 Court held <u>Daubert</u> hearings on, and he came and he

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Mr. Kaufman - Direct
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        testified. And he was able to get past <u>Daubert</u>
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        challenges in the MDL?
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             Yes, that's correct.
             The same with Dr. Elliot Brinton?
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        Α
            Yes.
             Dr. Elliot Brinton testified before this Court in
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        Q
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        the <u>Daubert</u> hearings?
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        Α
             That's right.
            And the same with Dr. Parisian, as well, correct?
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        Q
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        Α
            Yes.
                   MR. ZONIES: May I approach, Your Honor?
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                   THE COURT: You may.
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                   (Pause in proceedings.)
        BY MR. ZONIES:
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            Mr. Kaufman, I have handed you what's been marked as
        Exhibit 6 -- 7?
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                   MS. NAST: 7.
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                   THE COURT: 7.
        BY MR. ZONIES:
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        Q
             Exhibit 7. Do you see that document?
            Yes.
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        Α
             And Exhibit 7, actually, the lead page on it says,
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        Q
        Exhibit C, is that right?
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             Yes.
            And what do you -- can you describe, please, what
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        Q
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1 Exhibit 7 is?

A Exhibit 7 is the plaintiffs' exhibit list that was filed in a case captioned Nancy LeVoise (ph) -- I don't know if I'm pronouncing that correctly -- versus GSK.

- Q And can you describe, please, what that document is?
- A This is an exhibit list that was filed by the plaintiffs in the LeVoise versus GSK case. It lists some 2,300 or 2,400 documents as exhibits in that case.
- Q Do you know who counsel was on the LeVoise case?
- A It was the counsel for the Girardi Keese firm.
 - Q This is the Girardi Keese client in the JCCP?
- A It's one of their clients, yes.
 - Q And there -- as you pointed out, there are over 2,395 exhibits listed on this -- on this exhibit list for that trial, is that right?
- 16 A Yes.
 - Q And the -- did you have any role in creating this exhibit list?
 - A Yes. This document took some years off of my life. This was an exhibit list that began in the MDL. At the time when the MDL prepared it, it had some 1,000 exhibits on it. And in the time that Bill Robins and I were working in the MDL, as well as in California, we added approximately another 1,000 documents to this list in preparation for the California trial settings.

- Q Okay. And to your knowledge, did Mr. Girardi or his firm have any input into this exhibit list?
- A This exhibit list was provided to the Girardi firm, as it was prepared by our firm, leading up to our trial. There may be documents on this that Mr. Girardi's firm added to. I don't see them, but for the most part, given the MDL numbers on this document, I know that these are documents from the MDL that we provided in the
- Q And when you say, from the MDL numbers on the document, what do you mean?
 - A The documents are listed by Bates number, and the AV MDL Bates numbers are Bates numbers that were applied by GSK when GSK produced the documents to the Plaintiffs' Steering Committee in the Avandia MDL. That's how they designated their documents.
 - Q And do you see any documents on here that say AV-CA-JCCP?
 - A I don't.

list itself.

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- MR. ZONIES: May I approach, Your Honor?
- 21 THE COURT: You may.
- BY MR. ZONIES:
 - Q Mr. Kaufman, I have handed you --
- MR. ZONIES: Your Honor, I move for admission of Exhibit 7.

Mr. Kaufman - Direct 75 Any objection? 1 THE COURT: MR. GIRARDI: We have no objection. 2 3 objection. Thank you. It is admitted. 4 THE COURT: (Petitioner's Exhibit 7, trial exhibit list, 5 is admitted into evidence.) 6 7 BY MR. ZONIES: Mr. Kaufman, I have handed you Exhibit 8. Do you 8 have that in front of you? 9 10 Α Yes, I do. And what is Exhibit 8? What is your appreciation of 11 12 what Exhibit 8 represents? Exhibit 8 is a joint witness list for trial that was 13 filed in the California JCCP, relating to the case, 14 15 Nancy LeVoise versus SmithKlineBeecham/GlaxoSmithKline. And again, what is your understanding of who the 16 17 counsel is for Ms. LeVoise? My understanding is that, counsel for Ms. LeVoise 18 was the Girardi Keese firm. And this -- this document, 19 20 witness lists for trial, has a complaint filed date. What is that date that that was filed in California? 21 you see that on the first page, under the caption, 22 Witness Lists for Trial? 23 24 Α The complaint file date is May 19th, 2009.

Okay. And than what is the trial date?

- A April 11th, 2012.
- Q All right. And let's take a look at some of the
- 3 plaintiffs -- well if you turn to the second page,
- 4 actually, there is a signature block. Do you see that
- 5 signature block, the second one down?
- 6 A Yes.

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- Q And who does it purport to be the signature of on
- 8 the document?
- 9 A It looks like Keith Griffin.
- 10 Q At what law firm?
- 11 A At Girardi Keese firm.
- 12 Q And listed as attorneys for the plaintiff in the
- 13 case?
- 14 A Yes, Nancy LeVoise.
- 15 Q Exhibit A is the plaintiff's trial witness list, do
- 16 you see that?
- 17 A Yes.
- 18 Q All right. Now, there are a number of -- in column
- 19 three, there appear to be, like, time numbers. Do you
- 20 know what those are?
- 21 A Yes. Those are the deposition cut times for each of
- 22 these witnesses, so when we were preparing for trial and
- 23 did deposition cuts for each of these witnesses, when we
- 24 were preparing to play their videos during trial, those
- are the time lengths for those videos.

- Q Okay. And did you actually participate in the creation of this document and, also, the cutting of those depositions?
- A Yes.

- Q And I just want to go through a few of these, if we can, Mr. Kaufman. The first one that says, Susan Abelson, R.N., plaintiff's treating physician, do you see that?
- A Yes.
- Q You understand that that's Ms. LeVoise's doctor in the case?
- A That's my understanding, yes.
 - Q Okay. So I am going to call things like that case specific, and then, if we talk about somebody like Allaster Benbot (ph), that's a GSK employee. Do you see that second one?
 - Yes, and Dr. Benbot was a witness whose deposition I took in the UK. Do you recognize -- do you know if Girardi Keese had any role, whatsoever, in the deposition of Mr. Benbot, that GSK employee?
 - A I don't believe they did, no.
 - Q Okay. So, I'll call things like that, sort of the, general liability witnesses. Does that make sense to you, the distinction?
- 25 A Yes.

Q Okay. So, Joanna Bulsreck (ph), do you know whether the MDL or Mr. Girardi and Girardi Keese took that deposition?

- A Counsel for the MDL took that deposition.
- Q Okay. Mr. Cardinale (ph), in particular?
- A Yes.

- Q Okay. And these are -- these are plaintiff's trial witnesses, the witnesses that, apparently, Girardi Keese intends to call at his trial in California, correct?
- A That's my understanding, yes.
- Q All right. The next GSK employee is David Brand (ph). Do you know if the MDL took that deposition or was it Girardi Keese?
- A The plaintiffs' lawyers and the MDL took the deposition of David Brand.
- Q Elliot Brinton, it says, plaintiffs' expert. We discussed Dr. Brinton a little earlier. Do you know if Dr. Brinton was, indeed, found by, worked up and presented by the MDL at a <u>Daubert</u> hearing in the MDL or did Mr. Girardi and Girardi Keese do that?
- A No. Dr. Brinton's work on this case was worked up through the MDL and was subject to <u>Daubert</u> hearings, here, in this Court, and he survived those hearings.
- Q And, then, if we look at -- I'll just summarize -- Buckingham, Caponie, Carr, all the ones that say GSK

employee, Kollitz, Kekettle, Kolier, Rahl, even these third-party witnesses like, Marty Fried, Jeffery Fried, Dr. Garnier, the CEO at one point in time, Dr. Gavin, GSK's expert, Dr. Gibbs, do you know who prepared and took the depositions of all of those people that I just listed? Was it MDL lawyers or was it Mr. Girardi and Girardi Keese?

- A These would all be MDL lawyers.
- Q And if we turn to the next page, would that same thing be true for every witness on that next page, other than the case specific witnesses, as far as you know?
- A That's true. As far as I know, that's true.
- 13 Q So, Dr. Hefner, David Harrison, Mark Hiese, Dr.
- 14 Holme, were the MDL -- do you know, whether or not --
- 15 indeed, you may know this. Do you know whether or not
- 16 the MDL flew to the UK to take the deposition of Dr.
- 17 Holme?

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- 18 A I do know that the MDL flew to the UK to take the deposition of Dr. Holme.
 - Q Do you know, did Girardi Keese pay any of the expenses associated with that trip?
- 22 A I don't know if they did.
 - Q Dr. Jewell is an MDL expert, is that right?
- 24 A Yes.
 - Q And I could go on through this list. I don't want

- Q And you had nothing to do with the settlement negotiations of our cases with the defendant, isn't that correct?
- A That's correct.

- Q And as a matter of fact, we had our own -- we made our own demands for documents, of which the defendant said, please just get those from the MDL. You know that, don't you?
- A I didn't know that. I know that our firm made those documents available to you. The MDL documents, we made them available to you and your firm.
- Q Right. And that is because, the defendant said, we don't want to do this again. We've done it. We appreciate the fact that you're entitled to it, but we've already done it, so please, get a copy of these documents. That's what happened, isn't it?
- A I don't know that.
- Q Okay. And, then, of the major witnesses that are going to put the case together, for example, Dr.
- Maracangus (ph), he was the key guy for the plaintiffs'
 case, wasn't he?
 - A I'm sorry, could you say that name again?
- 23 Q Maracanqus.
 - A I don't know who that is.
- \parallel Q Okay. And the fact of the matter is, that we've

1	<u>I N D E X</u>
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3	PETITIONER'S OPENING STATEMENT PAGE NUMBER
4	By Mr. Zonies 7
5	
6	RESPONDENT'S OPENING STATEMENT PAGE NUMBER
7	By Mr. Girardi
8	
9	PETITIONER'S WITNESSES DIRECT CROSS REDIRECT RECROSS
10	Vance R. Andrus
11	By Mr. Zonies 43 67
12	By Mr. Girardi 61
13	Justin Kaufman
14	By Mr. Zonies 68
15	By Mr. Girardi 81
16	
17	PETITIONER'S EXHIBITS ADMITTED INTO EVIDENCE
18	1 Document 108 from MDL Number 1871 45
19	2 Attorney Participation Agreement 48
20	3 Letter dated 8-31-09 from Vance Andrus 50
21	4 Letter dated 9-21-09 from Vance Andrus 55
22	5 PTO 70 of MDL 1871 58
23	6 Document 2740 of MDL 1871 60
24	7 Trial exhibit list 78
25	8 Joint witness list 80

CERTIFICATION

I, Donna M. Anders, do hereby certify that the foregoing is a true and correct transcript from the electronic sound recordings of the proceedings in the above-captioned matter.

Donna M. Anders